



INTRUST ADVISORS

Investment Advisory Services

Firm Brochure

(Part 2B of Form ADV)

Jeffrey J. Diercks

January 1, 2023

Disclosures:

This brochure provides information about Jeffrey J. Diercks that supplements the InTrust Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact Jeff Diercks, Managing Director, at (813) 253-2388 ext. 222 or jdiercks@intrustadvisors.com if you did not receive InTrust Advisor's brochure or if you have any questions about the contents of this supplement.

Additional information about InTrust Advisors, Inc. is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement (Part 2B of Form ADV)

JEFFREY JAY DIERCKS

Birthdate: February 19, 1963

Education:

Bachelor of Science in Business - Indiana University - Major: Accounting

Professional Qualifications:

- Certified Public Accountant - Registered in State of Indiana (**Inactive**)
- 2-15 Insurance License - Health & Life (including Annuities and Variable Contracts)
- Series 66 License

Business Background:

10/97 - Present President/Managing Director, InTrust Advisors Inc.

Disciplinary Information:

In June 2014, Mr. Diercks was alleged as part of a Florida Office of Financial Regulation examination to be improperly using the Certified Public Accountant (CPA) designation on certain business cards and filings. His use of the CPA designation followed the state of issue, but not his current state of residence and operation.

The OFR issued a Cease-and-Desist order, which both the firm and Mr. Diercks complied with fully including amending filings and related marketing materials. InTrust paid an administrative fine related to this matter in the amount of \$1,500. This settlement was paid without either Mr. Diercks or InTrust Advisors admitting, nor denying wrongdoing in this matter.

Other Business Activities:

Mr. Diercks is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that this service pays a commission or other compensation and involves a conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. InTrust Advisors always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of InTrust in such individuals outside capacity.

Additional Compensation:

Other than salary plus bonuses, Mr. Diercks does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through InTrust Advisors.

Supervision:

Jeff Diercks is responsible for firm compliance and supervision of all personnel. He monitors personnel via periodic portfolio reviews, comparisons of client profiles to current portfolio selections and other informal reviews made possible by the firm's small boutique nature.

Requirements for State Registered Advisers:

No disclosure items required.

Designation Definitions:

A **Certified Public Accountant** is a designation given by the American Institute of Certified Public Accountants (AICPA) to individuals that pass the Uniform CPA Examination and meet the education and experience requirements. The CPA designation helps enforce professional standards in the accounting industry. Other countries have certifications equivalent to the CPA designation, notably, the chartered accountant (CA) designation.

Obtaining the certified public accountant (CPA) designation requires a bachelor's degree in business administration, finance, or accounting. Individuals are also required to complete 150 hours of education and some states have a minimum work experience requirement including Indiana, which required three years of work experience in Public Accounting. CPAs must pass a certification exam whose requirements vary by state. Additionally, keeping the CPA designation requires completing a specific number of continuing education hours yearly. Indiana's requirement is a minimum of 120 total hours of instruction during a three-year reporting period with a minimum 20 hours per calendar year. No less than 10% of those hours must be in accounting and/or auditing (A&A) and no less than 4 hours in Ethics. No more than 50% of these required hours may be from self-study classes. An inactive CPA is an individual who does not meet or has chosen to not meet these required continuing professional education requirements in part or total and does not practice in a Public Accounting role.

The **2-15 Insurance License - Health & Life (Including Annuities & Variable Contracts)** is a state regulated license required of any individual representing an insurer as to life insurance and annuity contracts, including agents appointed to transact life insurance, fixed-dollar annuity contracts, or variable contracts by the same insurer. The Florida licensing requirements include the applicant be 18 years of age or older, a resident of Florida, a U.S. citizen or legal alien who possesses a work authorization from U.S. Immigration and Naturalization Services and they not be an employee of the U.S. Department of Veteran Affairs or state service office. The license prerequisites include having taught or completed a 60-hour approved insurance course for life and health including

variable annuity insurance. Alternatively, the applicant may qualify for the license with reduced or no course hours if they have certain professional designations, earned certain college credit hours in similar studies, held certain equivalent licensing with another state or provided evidence from the American College of Financial Services that the applicant was awarded the Chartered Life Underwriters designation. All 2-15 License Applicants, unless exempted, must pass a rigorous examination. Thereafter they must complete 24 hours of biennial continuing professional education.

The **Series 66 license** combines the Series 63 and 65 exams into one 150-minute exam. The Series 63 license is required by each state and authorizes licensees to transact business within the state and it covers the Uniform Securities Act. The Series 65 license is required by anyone intending to provide any kind of financial advice or service on a non-commission basis. Financial planners and advisors that provide investment advice for an hourly fee fall into this category, as do stockbrokers or other registered representatives that deal with managed-money accounts. There is no ongoing continuing education requirement for this license.



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Adam Keith Hruby

January 1, 2023

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Phone: (813) 253-2388 ■ Fax: (813) 253-0949 ■ Web: www.intrustadvisors.com

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ADAM KEITH HRUBY

Birthdate: June 23, 1976

Education:

- Bachelor of Science - United States Naval Academy - Major: Mechanical Engineering
- Master of Engineering Management - Old Dominion University
- Master of Science in Finance - College for Financial Planning

Professional Qualifications:

- Accredited Asset Management SpecialistSM (AAMS[®])
- 2-15 Insurance License – Health & Life (including Annuities and Variable Contracts)
- Series 66 License

See professional qualification definitions, requirements, and ongoing continued education requirements below.

Business Background:

01/21 – Present Senior Cost Analyst, National Nuclear Security Administration
12/20 – Financial Advisor, InTrust Advisors, Inc.
03/11 – Present Commander, U.S. Navy Reserve
09/19 – 11/20 Financial Advisor, Raymond James & Associates
08/10 – 12/12 President, Maximum Profit Group, LLC

Disciplinary History:

None.

Other Business Activities:

Mr. Hruby is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that this service pays a commission or other compensation and involves a conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. InTrust Advisors always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of InTrust in such individual's outside capacity.

Additional Compensation:

Other than a share of fees earned from advising his clients, Mr. Hruby does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through InTrust Advisors.

Supervision:

The firm's Chief Compliance Officer is responsible for firm compliance and supervision of all personnel, including Mr. Hruby. He monitors personnel via periodic portfolio reviews, comparisons of client profiles to current portfolio selections and other informal reviews made possible by the firm's small boutique nature.

Requirements for State Registered Advisers:

No disclosure items required.

Designation Definitions:

The Accredited Asset Management SpecialistSM designation or AAMS[®] is a professional designation awarded by the College of Financial Planning onto financial professionals who successfully complete a self-study program, pass an exam, and agree to comply by a code of ethics. This designation is recognized as an industry benchmark for asset management credentials and is endorsed by the top financial firms. To maintain the designation, AAMS[®] holders must complete 16 hours of continuing education every two years and pay a \$95 renewal fee.

The 2-15 Insurance License - Health & Life (Including Annuities & Variable Contracts) is a state regulated license required of any individual representing an insurer as to life insurance and annuity contracts, including agents appointed to transact life insurance, fixed-dollar annuity contracts, or variable contracts by the same insurer. The Florida licensing requirements include the applicant be 18 years of age or older, a resident of Florida, a U.S. citizen or legal alien who possesses a work authorization from U.S. Immigration and Naturalization Services and they not be an employee of the U.S. Department of Veteran Affairs or state service office. The license prerequisites include having taught or completed a 60-hour approved insurance course for life and health including variable annuity insurance. Alternatively, the applicant may qualify for the license with reduced or no course hours if they have certain professional designations, earned certain college credit hours in similar studies, held certain equivalent licensing with another state or provided evidence from the American College of Financial Services that the applicant was awarded the Chartered Life Underwriters designation. All 2-15 License Applicants, unless exempted, must pass a rigorous examination. Thereafter they must complete 24 hours of biennial continuing professional education.

The **Series 66 license** combines the Series 63 and 65 exams into one 150-minute exam. The Series 63 license is required by each state and authorizes licensees to transact business within the state and it covers the Uniform Securities Act. The Series 65 license is required by anyone intending to provide any kind of financial advice or service on a non-commission basis. Financial planners and

advisors that provide investment advice for an hourly fee fall into this category, as do stockbrokers or other registered representatives that deal with managed-money accounts. There is no ongoing continuing education requirement for this license.